

Attorney Docket No.: NUASI-00200

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Justin Broughton et al.

Serial No.: 09/774,944

Filed: January 30, 2001

For: **DIGITAL MULTIMEDIA** 

CONTACT CENTER WITH TIER

**ESCALATION AND DE-**

**ESCALATION IN RESPONSE TO** 

CHANGED CRITERIA

Group Art Unit: 2145

Examiner: Cardone, Jason D.

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a check in the amount of \$180.00 to cover the Information Disclosure fee and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1-27-06

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

 $D_{\text{ate}} = 1 - 27 - 06_{\text{B}}$ 



Attorney Docket No.: <u>NUASI-00200</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Justin Broughton et al.

Serial No.: 09/774,944

Filed: January 30, 2001

For: DIGITAL MULTIMEDIA

CONTACT WITH TIER

ESCALATION AND DEESCALATION IN RESPONSE TO
CHANGED CRITERIA

162 N. Wolfe Road

DISCLOSURE STATEMENT

SUPPLEMENTAL INFORMATION

Examiner: Cardone, Jason D.

Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

Group Art Unit: 2145

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,530,744;
- U.S. Patent No. 6,298,383 B1;
- PCT WO 01/35601 A1;
- PCT WO 01/61529 A2;
- "Signaling Gateway CX6100-SG", Haraski et al., pages 138-142, NEC Res. & Develop., Vol 42, No. 2, April 2001; and
- "Media Gateway CX3200", Naoki Satoh et al., pages 133-137, NEC Res & Develop., Vol 42, No. 2, April 2001.

01/31/2006 HMARZII 00000018 09774944

01 FC:1806

180.00 OP



Attorney Docket No.: NUASI-00200

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: (-27-06

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

Sheet 1 of 1 FORM PTO-1440R (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: NUASI-00200 Serial No.: 09/774,944 TRE STATEMENT BY APPLICANT of Sheets If Necessary) Applicants: Justin Broughton et al. (37 CFR § 1.98(b)) Filing Date: January 30, 2001 Group Art Unit: 2145 **U.S. PATENT DOCUMENTS** Serial / Patent Number Examiner Initials Issue Date Class Subclass Applicant / Patentee Filing Date AA5,530,744 06/25/96 Charalambous et al. 379 265 09/20/94 ΑB 6,298,383 B1 10/02/01 Gutman et al. 709 229 01/04/99 AC AD ΑE ΑF AG AΗ ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number **Publication Date** Country / Patent Office Class Subclass Yes No ΑK WO 01/35601 05/17/01 H04L 29/06 X PCT WO 01/61529 A2 ΑL 08/23/01 PCT G06F Х 17/00 AM ΑN ΑO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP "Signaling Gateway CX6100-SG", Haraski et al., pages 138-142, NEC Res & Develop., Vol 42, No. 2., April 2001 AQ "Media Gateway CX3200", Naoki Satoh et al., pages 133-137, NEC Res & Develop., Vol 42, No. 2, April 2001 AR AS AT ΑU AVΑW AX

Date Considered:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AY AZ

Examiner:

**EXAMINER:**